

# Bishop-Phelps-Bollobás moduli for operators

Mariia Soloviova

*joint work with Vladimir Kadets*

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Department of Mathematics  
Università di Padova

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# Norm-attaining functionals

Let  $X$  be a real Banach spaces  $X^*$  be its dual space.

Recall that a functional  $x^* \in X^*$  **attains its norm**, if there is an  $x \in S_X$  with  $x^*(x) = \|x^*\|$ . The famous Bishop-Phelps theorem (1961) says that for every Banach space  $X$  **the subset of norm-attaining functionals is dense in  $X^*$** .

## Theorem (Bishop-Phelps-Bollobás theorem, 1970)

*Let  $X$  be a Banach space. Suppose  $x \in S_X$  and  $x^* \in S_{X^*}$  satisfy  $x^*(x) \geq 1 - \varepsilon$  ( $0 < \varepsilon < 1/8$ ). Then there exists  $(y, y^*) \in S_X \times S_{X^*}$  with  $y^*(y) = 1$ , such that  $\|x - y\| < \sqrt{2\varepsilon} + 2\varepsilon$  and  $\|x^* - y^*\| \leq \sqrt{2\varepsilon}$ .*

We use the notation:

$$\begin{aligned}\Pi(X) &= \{(x, x^*) \in S_X \times S_{X^*} : x^*(x) = 1\}, \\ \Pi_\varepsilon(X) &= \{(x, x^*) \in B_X \times B_{X^*} : x^*(x) \geq 1 - \varepsilon\}.\end{aligned}$$

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M. Chica, V. Kadets, M. Martín, S. Moreno-Pulido, and F. Rambla-Barreno in the paper "Bishop-Phelps-Bollobás moduli of a Banach space"(2014) introduced the following definition:

### Definition

*The Bishop-Phelps-Bollobás modulus* of  $X$  is the function  $\Phi_X(\varepsilon) : (0, 2) \rightarrow \mathbb{R}^+$ :

$$\Phi_X(\varepsilon) = \inf\{\delta > 0 : \forall (x, x^*) \in \Pi_\varepsilon(X) \quad \exists (y, y^*) \in \Pi(X) \\ \text{such that } \|x - y\| < \delta, \|x^* - y^*\| < \delta\}.$$

## Some properties of $\Phi_X$

$$\Phi_X(\varepsilon) = \inf\{\delta > 0 : \forall (x, x^*) \in \Pi_\varepsilon(X) \quad \exists (y, y^*) \in \Pi(X) \\ \text{such that } \|x - y\| < \delta, \|x^* - y^*\| < \delta\}.$$

- 1  $\Phi_X(\varepsilon)$  is a continuous non-decreasing function.
- 2  $\Phi_X(\varepsilon) \leq \Phi_{X^*}(\varepsilon)$ .
- 3  $\Phi_X(\cdot)$  is continuous with respect to  $X$ .
- 4  $\Phi_X(\varepsilon) \leq \sqrt{2\varepsilon}$ .

When instead of functionals one considers **operators**, the situation changes: the set of norm-attaining operators **is not always dense** in the space  $L(X, Y)$  of all linear operators acting from  $X$  to  $Y$ . (Lindenstrauss, 1963).

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Definition (Acosta, Aron, García and Maestre, 2008)

A couple of Banach spaces  $(X, Y)$  is said to have **the Bishop-Phelps-Bollobás property for operators** if for any  $\delta > 0$  there exists  $\varepsilon(\delta) > 0$ , such that for every operator  $T \in S_{L(X, Y)}$ , if  $x \in S_X$  and  $\|T(x)\| > 1 - \varepsilon(\delta)$ , then there exist  $y \in S_X$  and  $F \in S_{L(X, Y)}$  satisfying

$$\|F(y)\| = 1, \|x - y\| < \delta \text{ and } \|T - F\| < \delta.$$

In our work we studied the possible estimates from above and from below for parameters that measure the rate of approximation in the Bishop-Phelps-Bollobás property for operators.

$$\begin{aligned}\Pi(X, Y) &= \{(x, T) \in S_X \times S_{L(X, Y)} : \|T(x)\| = 1\}, \\ \Pi_\varepsilon(X, Y) &= \{(x, T) \in B_X \times B_{L(X, Y)} : \|T(x)\| \geq 1 - \varepsilon\}.\end{aligned}$$

### Definition

*The Bishop-Phelps-Bollobás modulus for operators:*

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### Remark

$(X, Y)$  has the BPB property for operators  $\Leftrightarrow \Phi_{(X, Y)}(\varepsilon) \xrightarrow{\varepsilon \rightarrow 0} 0$

## Property $\beta$

### Definition (Lindenstrauss, 1963)

A Banach space  $Y$  has **the property  $\beta$**  if there are two sets  $\{y_\alpha : \alpha \in \Lambda\} \subset S_Y$ ,  $\{y_\alpha^* : \alpha \in \Lambda\} \subset S_Y^*$  and  $0 \leq \rho < 1$  such that

$$y_\alpha^*(y_\alpha) = 1,$$

$$|y_\alpha^*(y_\gamma)| \leq \rho \quad \text{if } \alpha \neq \gamma, \text{ and}$$

$$\|y\| = \sup\{|y_\alpha^*(y)| : \alpha \in \Lambda\} \text{ for all } y \in Y.$$

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### Examples

- 1 Polyhedral finite-dimensional spaces have this property.
- 2  $c_0$  and  $\ell_\infty$  also have this property with  $\rho = 0$ .

### Theorem (Acosta, Aron, García and Maestre, 2008)

*Let  $X$  and  $Y$  be Banach spaces and  $Y$  has the property  $\beta$ . Then the pair  $(X, Y)$  has the BPB property for operators.*

### Theorem (Acosta, Aron, García and Maestre, 2008)

*Let  $X$  and  $Y$  be Banach spaces and  $Y$  has the property  $\beta$ . Then the pair  $(X, Y)$  has the BPB property for operators.*

### Theorem (Kadets, S., 2017)

*Let  $X$  and  $Y$  be Banach spaces and  $Y$  has the property  $\beta$ . Then*

$$\Phi_{(X,Y)}(\varepsilon) \leq \min \left\{ \sqrt{2\varepsilon} \sqrt{\frac{1+\rho}{1-\rho}}, 2 \right\}, \quad \varepsilon \in (0, 1).$$

## The accuracy of estimation

$$\Phi_{(X, Y)}(\varepsilon) \leq \min \left\{ \sqrt{2\varepsilon} \sqrt{\frac{1+\rho}{1-\rho}}, 2 \right\}, \quad \varepsilon \in (0, 1).$$

1. If  $Y$  has the property  $\beta$  with  $\rho = 0$ , then

$$\Phi_{(\ell_1^{(2)}, Y)}(\varepsilon) = \min \left\{ \sqrt{2\varepsilon}, 1 \right\}, \quad \varepsilon \in (0, 1).$$

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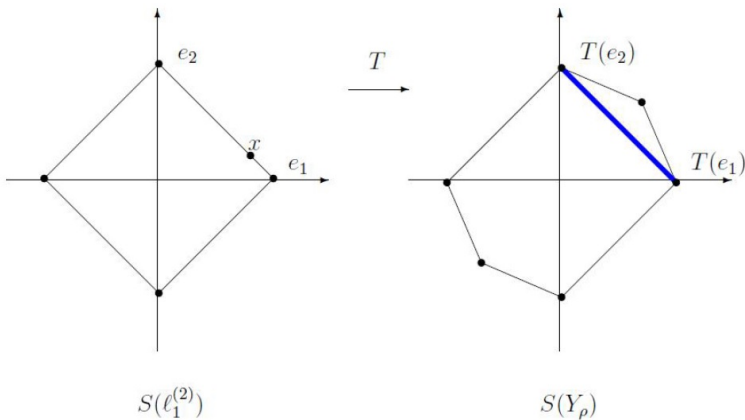
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$$\Phi_{(\ell_1^{(2)}, Y)}(\varepsilon) = \min \left\{ \sqrt{2\varepsilon}, 1 \right\}, \quad \varepsilon \in (0, 1).$$

2. For  $\rho \in [1/2, 1)$  there is the space  $Y = Y_\rho$  such that

$$\Phi_{(\ell_1^{(2)}, Y)}(\varepsilon) \geq \min \left\{ \sqrt{2\varepsilon} \sqrt{\frac{\rho}{1-\rho}}, 1 \right\}, \quad \varepsilon \in (0, 1).$$

$$\Phi_{(\ell_1^{(2)}, \mathcal{Y})}(\varepsilon) \geq \min \left\{ \sqrt{2\varepsilon} \sqrt{\frac{\rho}{1-\rho}}, 1 \right\}, \quad \varepsilon \in (0, 1).$$





## Non-continuity of the modulus

Theorem (Kadets, S., 2017)

$\Phi_{(X, Y)}(\varepsilon)$  as a function of  $Y$  is not continuous in the sense of Banach-Mazur distance. Namely, for every  $\varepsilon \in (0, 1/2)$

$$\Phi_{(\ell_1^{(2)}, Y_\rho)}(\varepsilon) \not\xrightarrow[\rho \rightarrow 1]{} \Phi_{(\ell_1^{(2)}, \ell_1^{(2)})}(\varepsilon).$$

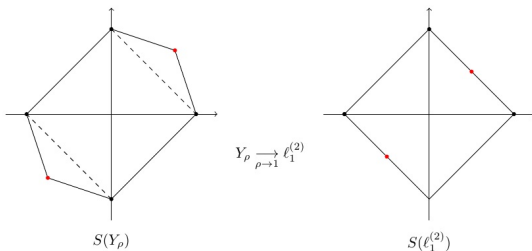
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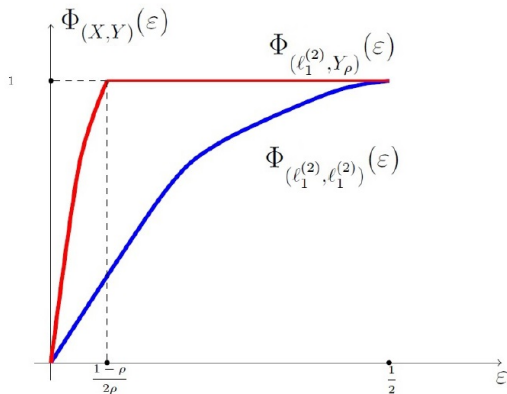
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$$\Phi_{(\ell_1^{(2)}, Y_\rho)}(\varepsilon) \not\xrightarrow{\rho \rightarrow 1} \Phi_{(\ell_1^{(2)}, \ell_1^{(2)})}(\varepsilon).$$

$$\Phi_{(\ell_1^{(2)}, Y_\rho)}(\varepsilon) \geq \min \left\{ \sqrt{\frac{2\rho\varepsilon}{1-\rho}}, 1 \right\} \xrightarrow{\rho \rightarrow 1} 1, \quad \Phi_{(\ell_1^{(2)}, \ell_1^{(2)})}(\varepsilon) = \min\{\sqrt{2\varepsilon}, 1\}$$



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